

# **HOUSEHOLD-LEVEL DETERMINANTS OF WEIGHT STATUS AMONG INDIAN CHILDREN AND ADOLESCENTS: A DYADIC MULTILEVEL ANALYSIS**

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# Household-level determinants of weight status among Indian children and adolescents: A dyadic multilevel analysis

## Background

India, like many other low and middle-income (LMIC) countries, is currently facing a double burden of increasing overweight/obesity and persistent undernutrition. Among children and adolescents, prevalence estimates range from 4.5%-23.9% and 1.3%-6.1% for overweight and obese, respectively, with varying gender-specific estimates (1-5). Concurrently, the prevalence of undernutrition is estimated at 48.9% among girls and 45.5% among boys (6). Both underweight and overweight/obese in childhood and adolescence are associated with numerous short-term physical and psychological health conditions (7), as well as with the development of chronic health conditions later in life including hypertension, metabolic syndrome, type 2 diabetes mellitus, and cardiovascular disease (3, 8, 9). These health outcomes impact all levels of society from individual quality of life to nationwide economic productivity, underscoring the importance of research that furthers our understanding of how the double burden of disease manifests in diverse settings.

The double burden of disease exists as a function of several larger global trends including ongoing epidemiologic, demographic, and nutrition transitions. The impact of these transitions on weight status and nutrition is made manifest in factors such as the increasing availability and affordability of obeseogenic food and beverages, decreased physical activity and increased sedentary lifestyles, rural-urban migration, urbanization, and deepening poverty and inequality (10, 11). In India, weight status varies widely by socio-economic status, urban and rural residence, gender, and socio-cultural context (8, 12, 13). This study seeks to identify whether unhealthy weight is clustered within families (including underweight, overweight/obese, and concurrent underweight and overweight/obese), as well as whether household level determinants are differentially associated with weight status among opposite gender siblings in Bijapur, India.

Prior research in India has demonstrated poorer nutritional outcomes among girls, which may be the result of cultural and gender norms such as preferential feeding practices for men and boys, and limited maternal control of household resources (14, 15). Recent studies have also indicated a higher prevalence of overweight/obese among women and girls (5, 13, 16). To date, limited research has been conducted in this setting with a focus on the critical period of adolescence. This study aims to address this gap as adolescence is a time when gender norms (e.g. regarding marriageability or body image norms) may become significantly more pronounced.

Globally, current approaches to addressing unhealthy weight have highlighted the importance of viewing the problem from a multi-level and socio-ecologic perspective wherein social and environmental factors, operating at different systemic levels, act as key determinants of health behavior and health outcomes (17). As part of this framework, the home environment is increasingly viewed as a critical context in which to consider potential risk and protective factors for nutritional and weight outcomes (18-20). However, much of the existing research has been conducted in developed country settings. Of the studies which have been conducted in India, very few have made use of multi-level modeling techniques, which allow us to statistically account for naturally occurring familial structures, where children and adolescents are typically nested within households, and appropriately estimate how variables occurring at different levels are associated with both the outcome and each other (21).

Looking more closely at the household-level determinants of weight status, research has identified various patterns of familial clustering. In a South Indian sample, offspring BMI and macronutrient intake were significantly correlated with the BMI and macronutrient intake of both parents (22), while a study conducted among families in New Delhi found that adiposity was most strongly correlated among siblings (23). Among siblings, research has identified both concordant and discordant clustering, with differences in both birth order and gender combinations (24-26). Further research is needed to elucidate meaningful and consistent patterns.

Few studies on familial clustering have been conducted in India, and to our knowledge, no studies have analyzed siblings as interdependent dyads, each of whom may be differentially impacted by household level variables. The theoretical framework behind dyadic data analysis views dyads (e.g. sibling-sibling, parent-child, husband-wife) as the fundamental units of human interaction (27). As such, dyadic data analysis allows us to structure the data

and perform our analyses in such a way that not only accounts for the interdependence among siblings but investigates differences between and within dyads.

Through a multi-level dyadic analysis, this study aims to address the aforementioned gaps in the literature by answering the following questions:

1. Is there familial clustering of weight status?
2. Is the weight status of opposite gender siblings similarly or differentially associated with home environment and other family-level correlates?

## **Methods**

### *Sample*

The study uses data from a representative sample of approximately 400 households in Bijapur City in the State of Karnataka, Southern India. A stratified random sample of adolescents between the ages of 13-16 was selected from three public and three private schools in urban Bijapur City. An equal number of male and female students were then randomly selected from each school. Adolescents were interviewed at school, and a subsequent home visit was conducted to interview the adolescent's primary caregiver, as well as a sibling closest in age and of the opposite gender.

### *Measures*

The outcome of interest in this study is age and gender-specific BMI (kg/meters<sup>2</sup>) z-score, for both adolescents and their opposite gender siblings. World Health Organization (WHO) cut-offs were used to determine weight categories of underweight, normal weight, overweight, and obese (28). Individual-level variables for adolescent and sibling include age, gender, and type of school attended (categorized as government vs. private). Variables have also been created to identify birth order within the sibling pair, as well as composite variables that identify each sibling by both gender and birth order (i.e. older sister, younger brother; older brother, younger sister). Other individual-level, non-demographic variables for adolescent and sibling include whether or not they play outside of school hours, how often they participate in household chores, whether or not they are forced to eat more at meals, and whether or not they need to ask permission to eat something in the home.

Household-level variables include caregiver BMI (kg/meters<sup>2</sup>), caregiver age, caregiver level of education (categorized as illiterate, primary, higher primary, pre-university course, and degree/professional school), household religion (categorized as Hindu vs. non-Hindu), household caste (categorized as General Class, Other Backward Classes, and Scheduled Caste/Scheduled Tribe), and household income (INR/month). Other household-level, non-demographic, variables include whether children are permitted to play outdoors (categorized as boys only, girls only, both, and neither), and several questions regarding household gender norms and beliefs.

## **Analysis**

All analyses conducted account for the complex sampling methods used in the study design, and for clustering within the sampled schools.

### *Univariate and bivariate analyses*

Univariate analyses of each variable will be conducted to obtain descriptive statistics, assess normality of distributions, and check for outliers and influential observations. Bivariate analyses will be performed separately for adolescents and their siblings on the outcome variable, BMI z-score, with each other variable to assess initial significance and examine the linearity of each association.

### *Dyadic analysis*

To do conduct a dyadic analysis, variables are categorized into *between-dyad variables*, or variables that differ from dyad to dyad, but are the same for both members of the dyad (e.g. caregiver BMI, household income), and *within-dyad variables* that differ between the two members of a dyad, but when averaged together each dyad has an identical score (e.g. gender). Variables can also be *mixed independent variables*, which vary both within and between dyads (e.g. school type, age). Adolescent and sibling dyads will be examined to see how much they vary

on the outcome measure, BMI z-score, providing an initial indication of whether opposite gender siblings in the same household vary in weight status.

### *Multi-level dyadic analysis*

Using the dyadic data structure, multi-level models will be estimated with the children as the level 1 unit of analysis, and the household as the level 2 unit of analysis. Several models will be sequentially estimated to isolate the individual-level effects from the household-level effects on the outcome of BMI z-score (21). The first model estimated will be a null model used to assess how much the average child/adolescent BMI z-score varies between households:

$$Y_{ij} = Y_{00} + u_{0j} + r_{ij} \quad (1)$$

Where  $Y_{ij}$  is the BMI z-score of the  $i^{\text{th}}$  child/adolescent in the  $j^{\text{th}}$  household;  $r_{ij}$  is the random effect for the child/adolescent;  $Y_{00}$  is the unadjusted average BMI z-score in the data; and  $u_{0j}$  is the random effect for the  $j^{\text{th}}$  household. If this model is significant it will indicate that average BMI z-score significantly varies between households. The next model will estimate whether this significant variation is the result of significant differences in individual-level variables, or whether variance at the household level will still be significant once individual-level variables are accounted for.

$$Y_{ij} = Y_{00} + \sum \beta_{kj} x_{ijk} + u_{0j} + r_{ij} \quad (2)$$

Where  $Y_{00}$  is the adjusted average BMI z-score;  $x_{ijk}$  is variable  $k$  of the  $i^{\text{th}}$  child/adolescent in the  $j^{\text{th}}$  household, and  $\beta_{kj}$  is the estimated impact of that variable. If variance at the household level is still significant after the individual-level variables are added, the third model will add the level 2 household predictors to identify which variables are significant predictors of child/adolescent BMI z-score.

$$Y_{ij} = Y_{00} + \sum Y_{0l} w_{lj} + \sum \beta_{kj} x_{ijk} + u_{0j} + r_{ij} \quad (3)$$

Where  $Y_{00}$  is the adjusted average BMI z-score;  $Y_{0l}$  is the impact of household level variable  $l$ ;  $w_{lj}$  is variable  $l$  of the  $j^{\text{th}}$  household;  $x_{ijk}$  is variable  $k$  of the  $i^{\text{th}}$  child/adolescent in the  $j^{\text{th}}$  household, and  $\beta_{kj}$  is the estimated impact of that variable.

After these models are estimated and initial significant associations are detected, interaction terms will be added to the model and tested for significance. In particular, interaction terms will be created between each household level variable and a variable indicating whether the person in the dyad is a boy or a girl. As each sibling dyad is comprised of one boy and one girl, these interaction terms will allow us to assess whether household level factors are differentially associated with the weight status of boys and girls within the same household. All models will be assessed for multicollinearity.

### **Preliminary results**

Preliminary analyses were conducted using demographic variables from the individual and household levels. Initial results indicate that weight status was significantly correlated within families. As indicated in Table 1, significant correlations ( $p < .0001$ ) were identified between girl-boy sibling pairs, girl-mother pairs, and boy-mother pairs. All overweight/obese children/adolescents had an overweight/obese mother indicating clustering of unhealthy weight. Further, the slight majority of underweight girls and boys had overweight/obese mothers, indicating the presence of a double burden of unhealthy weight within households. The preliminary multi-level dyadic analysis indicated that there is significant variation in child/adolescent BMI z-score between households ( $ICC = .40$ ; see results in Table 2). In the individual-level model, girls weighed significantly more than boys. In the household-level model, girls remained significantly heavier than boys, and caregiver BMI became the most significant predictor of child/adolescent BMI z-score, with a positive linear association between the two variables. Household income of 10-20,000 and greater than 20,000 INR/month were significant predictors of child/adolescent BMI z-score (reference category of less than 5,000 INR/month), with a positive linear association between the two variables. These initial results support the hypothesized presence of familial clustering of weight status, as well as the presence of a double burden of unhealthy weight within households.

Table 1. Body weight distributions within families, Bijapur, India  
 Girl sibling-boy sibling pairs N=210  
 Parent-child pairs N=606

	Boy sibling			Mother		
	Underweight	Normal weight	Overweight or obese	Underweight	Normal weight	Overweight or obese
<b>Girl sibling</b>						
Underweight	30.4	67.7	1.9	13.0	42.4	44.6
Normal weight	22.5	70.7	6.8	5.2	27.3	67.5
Overweight/obese	0	75.3	24.7	0	0	100.0
	$\rho=.38^{***}$			$\rho=.41^{***}$		
<b>Boy sibling</b>						
Underweight	-	-	-	10.0	44.8	45.2
Normal weight	-	-	-	1.7	23.5	74.8
Overweight/obese	-	-	-	0	0	100.0
				$\rho=.39^{***}$		

Note. Table displays row percentages.

$\rho$  indicates the Pearson correlation coefficient between the BMI (body weight index)/BMI z-score (for age <19 years) of the two family members \*\*\* $p < .0001$

Table 2. Results from Dyadic Multilevel Model Assessing Individual and Household-Level Predictors of Children's BMI z-score (range from -3 SD to 3 SD)

Parameter	Model 1	Model 2	Model 3
Fixed effects			
<b>Intercept</b>	-.89 (.06)***	-1.18 (.34)***	-4.00 (.61)***
<b>Level 1</b> ( $N_{\text{child}}=606$ )			
Girl		0.27 (.09)*	0.30(.09)**
Boy		(ref)	(ref)
Age (range: 4-22 years)		0.01 (.02)	.003 (.02)
<b>Level 2</b> ( $N_{\text{household}}=404$ )			
Caregiver BMI			.11 (.01)***
Caregiver age			.0002 (.009)
Caregiver education			
Illiterate			(ref)
Primary school			.04 (.18)
Higher primary			.02 (.19)
PUC <sup>1</sup>			-.10 (.21)
Degree/professional			.17 (.21)
Religion			
Hindu			(ref)
Non-Hindu			.10 (.16)
Caste			
General			-.27 (.19)
Other backward classes			.04 (.17)
Scheduled caste/tribe			(ref)
Income			
<5,000 INR/month			(ref)
5-10,000 INR/month			.31 (.19)
10-20,000 INR/month			.47 (.20)*
>20,000 INR/month			.49 (.21)*
Household size			-.04 (.02)
Random effects			
Intercept variance	.77***	.81***	.53***
ICC	.40		

Note. Variances are in parentheses. \* $p < .05$  \*\* $p < .001$  \*\*\* $p < .0001$

<sup>1</sup>Pre-University Course (PUC) is a two-year intermediate course which must be passed to enter university.

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